

## D E S C R I P T I O N

### SYNCHRONIZATION CIRCUIT

#### Field of the invention

The invention relates to a synchronization circuit according  
5 to the precharacterizing clause of Claim 1. Such  
synchronization circuits can be used for the production of  
an internal sequence of analog values which corresponds to  
an external sequence coded in a received signal and  
containing in each case repetitions of a binary fundamental  
10 sequence and which is synchronous with said external  
sequence, as required, for example, in the decoding of  
signals in communications technology, in particular of  
mobile telephony, and in positioning systems, such as GPS.

#### Prior art

15 Various synchronization circuits of the generic type with a  
feedback function based on the cosine and square functions  
are known (US-A-5 579 337, US-A-5 612 973, WO-A-01/37 441),  
the synchronization behaviour of which, however, is  
unsatisfactory in many cases and which cannot be used in the  
20 case of signals with high background noise.

#### Summary of the invention

It is the object of the invention to provide a  
synchronization circuit of the generic type which has more  
advantageous synchronization behaviour than the known  
25 synchronization circuits of the generic type. This object is  
achieved by the features in the characterizing clause of  
Claim 1.

The synchronization circuit according to the invention generally synchronizes rapidly and without problems, and, something which is of decisive importance in many practical applications, also under unfavourable conditions, such as a  
5 weak signal and strong disturbances. Thus, satisfactory synchronization behaviour sufficient for practical purposes could also be achieved in the case of large negative signal/noise ratios.

### **Brief description of the drawings**

10 The invention is explained in more detail below on the basis of figures which show only an embodiment.

Fig. 1 shows a synchronization circuit according to the invention,

15 Fig. 2 shows the graph of the feedback function used in the case of the synchronization circuit according to the invention,

Fig. 3 shows a section of a possible fundamental sequence and

20 Fig. 4 shows the development of the power of a feedback signal in the synchronization circuit according to the invention during a synchronization.

### **Description of the preferred embodiments**

The synchronization circuit according to the invention is suitable for all applications in which data are transmitted  
25 CDMA-coded. It can be used directly for processing an external signal derived from a received signal if the

fundamental sequence is an m-sequence, but use for processing CDMA-coded signals which use other types of fundamental sequences, such as, for example, Gold sequences or Kasami sequences, is also possible.

- 5 Each m-sequence can be generated by a binary feedback shift register of length  $n$  and has, inter alia, the property that, with one exception, each sequence of a length  $n$  - in the case presented below as an example,  $n$  is 10 - occurs exactly once in the m-sequence of length  $N = 2^n - 1$  - in this case
- 10 1023.

Various representations are possible for the binary quantities and their logic operators. Most familiar is the representation by 0 and 1 with the addition modulo 2 (also known as exclusive-or logical combination)  $(b_1, b_2) \rightarrow b_1 \oplus b_2$  as

15 both an associative and a commutative relationship, according to the following table:

$b_2 \backslash b_1$	0	1
0	0	1
1	1	0

The logical combination of an element with the zero element 0 leaves the former unchanged while the logical combination

20 with 1 converts 0 into 1 and 1 into 0.

The transformation

$$(1) \quad b \rightarrow p = 2b - 1$$

leads to the following representation, which is equivalent but more easily implemented and which is used in the embodiment:

$p_2 \backslash p_1$	-1	1
-1	-1	1
1	1	-1

5 Here, the logical operation is produced by the mapping

$$(2) \quad (p_1, p_2) \rightarrow p_1 \otimes p_2 = -p_1 \cdot p_2.$$

-1 is the zero element. The logical operation can evidently be readily extended to include any real numbers, i.e. analog values.

10 Each m-sequence  $p_1, \dots, p_N$  with  $N=2^n-1$  can be generated, starting from an initial sequence  $p_1, \dots, p_n$  of length  $n$  which does not consist exclusively of zero elements, by recursively forming further values according to the pattern:

$$(3) \quad p_i = p_{i-n} \otimes p_{i-r_m} \otimes \dots \otimes p_{i-r_1},$$

15 where  $0 < r_1 < \dots < r_m < n$  and the polynomial  $x^n + x^{r_m} + \dots + x^{r_1} + 1$  is prime with respect to the arithmetic defined above. For example, in each case

$$(4) \quad p_i = p_{i-10} \otimes p_{i-3}$$

may apply. Since all  $N$  possible segments of length  $n$ , apart  
 20 from the segment consisting only of zero elements, are passed through before the initial sequence recurs, the choice of the latter is of no importance.

On transmission of CDMA-coded data, each transmission channel is characterized by a specific fundamental sequence which is repeated constantly. A section of a possible fundamental sequence is shown in Fig. 3. For each data bit, usually several, e.g. twenty, copies of the fundamental sequence are transmitted. They are logically combined with the respective data bit so that the fundamental sequence occurs either in unchanged form or is inverted, depending on the value of said data bit.

10 The synchronization circuit according to the invention comprises (Fig. 1) an analog feedback shift register 1 and a buffer 2 in front of it. The buffer 2 comprises an adder 3 and a shift register 4 with 1023 memory locations for analog values. The output of the shift register 4 is fed back to  
15 the second input of the adder 3. The analog feedback shift register 1 comprises an adder 5 and an analog shift register 6 with ten memory locations. A feedback circuit 7 is connected to taps at the tenth memory location and at a further memory location or a plurality of further memory  
20 locations. In the example, corresponding to (4), only one further tap is present at the third memory location. In the feedback circuit 7, the tapped analog values are combined according to a feedback function. The graph of a possible feedback function with two arguments  $f(x_1, x_2)$  is shown in  
25 Fig. 2. The output of the feedback circuit 7 is connected via a gain block 8 to the second input of the adder 5.

The output of the gain block 8 is moreover connected to a discriminator 9 which comprises a squaring circuit or another circuit mapping the input signal into the positive  
30 domain, a low-pass filter and a threshold value detector and outputs a binary signal. The discriminator may also be connected to any other point of the loop formed by the shift

register 6, the feedback circuit 7, the gain block 8 and the adder 5.

The input signal is present as a digital signal of a specific bit resolution, e.g. 12 bit for the magnitude and an additional bit for the sign. The values may be represented as floating point numbers or as integers. However, they are designated below as analog values for distinction from binary values. The input signal contains a binary sequence which can be generated by a binary feedback shift register which has the same feedback pattern as the analog feedback shift register 1, i.e. in the case presented a feedback pattern in which in each case the new value is generated by logical operation according to (4). However, there may be a very strong background noise, the typical signal/noise ratio being, for example, -35 dB.

The analog values of the input signal, which are elements of an input sequence, first enter the buffer 2. There, a plurality, for example, twenty, instances of consecutively determined sequences of in each case 1023 values, each of which corresponds to a fundamental sequence with added background noise - which after all is sent twenty times in succession for transmitting one data bit - are superposed, i.e. the 1023 analog values of the first instance which correspond to the chips of the fundamental sequence are stored in the memory locations of the shift register 4 and then fed back sequentially to the adder 5, and the corresponding values of the second instance are added thereto and the original value is overwritten with the result. This is repeated until the sum of the twenty instances is stored in the shift register 4.

Since, in the summation, the noise is superposed only in an uncorrelated manner, a substantial improvement of the signal/noise ratio can be achieved thereby. If, however, the data bit value changes during the summation and the  
5 corresponding fundamental sequence is inverted, the summation can also lead to partial cancellation. In this case, however, no synchronization occurs within a specific time span with regard to this fundamental sequence which then leads to termination and filling of the shift register  
10 4 with new data, as will be explained further below.

The basic sequence stored in the buffer 2 is now read out repeatedly for generating an external sequence and in each case fed to the input of the analog shift register 1. Since the fundamental sequence may be inverted by logical  
15 combination with the data bit, two analog shift registers can also be used, the external sequence being fed via an inverter to one of them.

That analog feedback shift register 1 which receives an advantageous sequence, i.e. one which contains a component  
20 as similar as possible to the coded fundamental sequence  $p_1, \dots, p_{1023}$ , should now generate therefrom an internal sequence  $a_1, \dots, a_{1023}$  which corresponds to this fundamental sequence and which should moreover agree with the external sequence in terms of the phase position. The external  
25 sequence corresponds to repetitions of the basic sequence which contains the fundamental sequence  $p_1, \dots, p_{1023}$  with background noise added.

For the stability and the synchronization behaviour in the case of large negative signal/noise ratios, the choice of a  
30 suitable feedback function  $f$  is of considerable importance. With the functions known to date, it has not been possible

to achieve any synchronization in the case of sequences with a high background noise. In the search for more suitable feedback functions, various features have proved advantageous. Thus, in the chosen representation of the

5 binary values - in other representations some of the properties have to be appropriately transformed - they should as far as possible have the following properties:

The feedback function should substantially be a linear combination of the arguments in each sector which is defined

10 by specific values of the sign of the arguments. The resulting discontinuities at the sector limits can be smoothed, but it has been found that such modifications tend to adversely affect the behaviour and therefore should not be large.

15 If the magnitudes of the arguments are 1, the magnitude of the feedback value should be slightly less than 1, preferably between 0.90 and 0.99. It is advantageous if the feedback function gives a value of magnitude 1 in the case of arguments of magnitude 1, i.e.

20 (5)  $|f(x_1, \dots, x_m)| = 1$  for  $|x_1| = \dots = |x_m| = 1$ ,

and the function value is then multiplied by a selectable factor  $k < 1$ , in particular  $0.90 < k < 0.99$ . This multiplication is performed by the adjustable gain block 8, which follows the feedback circuit 7 evaluating the feedback function.

25 The sign of the feedback function should in each case be the inverse of the sign of the product of the negative arguments, i.e.

(6)  $\text{sig}(f(x_1, \dots, x_m)) = -\text{sig}((-x_1) \cdot \dots \cdot (-x_m))$ .



If  $x_1, \dots, x_m$  each have the magnitude 1, i.e. can also be regarded as binary quantities, said two properties result in  $f(x_1, \dots, x_m)$  corresponding to the logical combination  $x_1 \otimes \dots \otimes x_m$ .

- 5 It is furthermore advantageous if the feedback function  $f$  is invariant on interchanging the arguments. It should be antisymmetrical and monotonic as a function of each individual argument, i.e. when other arguments are kept constant.
- 10 A feedback function  $f$  which has all the abovementioned properties and with which synchronization could be achieved even in the case of signals with a strong background noise is

$$(7) \quad f(x_1, \dots, x_m) = -\text{sig}((-x_1) \cdot \dots \cdot (-x_m)) \cdot (|x_1| + \dots + |x_m|) / m$$

- 15 Apart from scaling which ensures that (5) is fulfilled, this function is, in each sector, a linear combination of the arguments with a coefficient of +1 or -1.

For two variables, i.e.

$$(8) \quad f(x_1, x_2) = -\text{sig}(x_1 \cdot x_2) \cdot (|x_1| + |x_2|) / 2,$$

- 20 it is shown in Fig. 2, only the transitions at the sector limits having been smoothed by linear interpolation.

If a representation of binary values other than that in the example described is chosen, the conditions must be appropriately adapted to the feedback function. Furthermore,

25 the factor  $k$  can, depending on implementation as a fixed or variable quantity, be integrated into the feedback function,

which, for example, would require adaptation of the condition (5).

Since, thanks to the buffer 2, the synchronization circuit operates with stored data, its operating speed is independent of the chip rate of the received signal and may be substantially higher. The power of the internal sequence generated, which is tapped at the output of the gain block 8, serves as a criterion for successful synchronization. While the elements of the internal sequence have about the same value as those of the external sequence before synchronization, the latter is amplified after synchronization by a factor of  $1/(1-k)$  which is thus usually between 10 and 100. The power of the internal sequence ( $a_i$ ) accordingly increases greatly, as shown in Fig. 4. This increase is registered by the discriminator 9, in which the power is determined by squaring and smoothed by filtering through a low-pass filter and averaged over a relatively long time segment and finally the result compared with a threshold value. A corresponding binary signal which indicates the completed synchronization is output.

If no synchronization occurs after a certain time, there is generally no sense in continuing the procedure with the same data. The lack of synchronization may be accidental, for example due to a particularly unfavourable form of the noise component, due to an unfavourable phase position of the received signal or due to an unfavourable sampling time before a data bit change, which leads to instances of sequences in which the component containing the desired fundamental sequence occurs with different signs being added in the buffer 2 (Fig. 1), which can lead to a serious attenuation of said component compared with the noise component. In such cases, it is expedient to fill the buffer

2 with a new basic sequence and to restart the  
synchronization process with it.

There are of course various possible deviations from the  
example described. Thus, especially in the case of good  
5 quality of the input signal, the buffer need not be present  
and the input sequence can be fed directly as an external  
sequence to the input of the analog feedback shift register.  
There are also various possibilities for implementation; in  
particular, different degrees of integration can be chosen.  
10 The stated parts of the synchronization circuit need by no  
means be present as separate components. The shift register  
can, for example, be formed in each case by a corresponding  
memory with linear addressing and a write pointer and a read  
pointer.

#### 15        **List of reference symbols**

- |      |                                |
|------|--------------------------------|
| 1    | Analog feedback shift register |
| 2    | Buffer                         |
| 3    | Adder                          |
| 4    | Shift register                 |
| 20 5 | Adder                          |
| 6    | Shift register                 |
| 7    | Feedback circuit               |
| 8    | Gain block                     |
| 9    | Discriminator                  |